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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Linfeng Chen

Application No./Patent No.: 10/568,981 Filed/Issue Date: 02/21/2006

Entitled: Self Extinguishing Catalyst Composition with Monocarboxylic Acid Ester Internal Donor and Propylene Polymerization Process

Union Carbide Chemicals & Plastics Technology Corp., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

<u>Gary R. Ploecher</u>	<u>October 9, 2007</u>
Signature	Date
<u>Gary R. Ploecher</u>	<u>414-273-2100</u>
Printed or Typed Name	Telephone Number
<u>Attorney</u>	
Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Docket Ref. 63399 -css

## INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION (WITH PRIORITY RIGHTS)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled:  
**SELF EXTINGUISHING CATALYST COMPOSITION WITH MONOCARBOXYLIC ACID ESTER INTERNAL DONOR AND PROPYLENE POLYMERIZATION PROCESS**  
which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we, by virtue of my/our employee agreement(s) and contractual agreement(s) between our employer(s) and Union Carbide Chemicals & Plastics Technology Corporation, hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for Union Carbide Chemicals & Plastics Technology Corporation. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work from my/our employer, I/we sell, assign and transfer unto:

UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION  
39 Old Ridgebury Road  
Danbury, Connecticut 06817-0001  
United States of America

a corporation organized and existing under the laws of the State of DELAWARE, U.S.A. (hereinafter referred to as the "Assigee"):

my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the

Application No. 60/505,313 Filing Date September 23, 2003

*in country* the United States of America

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request; and I/we do hereby authorize Assignee or its designee (or nominee(s) to insert here any corresponding equivalent

Application No. \_\_\_\_\_ Filing Date \_\_\_\_\_

*in country*

to the above Priority application of any country where that country's patent property must be identified and entered hereinabove for record of this Assignment. We authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the record of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: Houston, Texas USA  
this 26th day of September, 2003  
Effective as of September 23, 2003

Signature: Lin Feng Chen  
Full Name: Lin Feng Chen  
Residence: 11706 Pedernales Falls Lane  
Sugar Land, Texas 77478  
Country: United States of America  
Citizenship: United States of America  
P. O. Address: Same as Residence  
Employed By: Union Carbide Corporation  
Of Country: United States of America

At: \_\_\_\_\_  
this      day of      , 20

Signature: \_\_\_\_\_  
Full Name: \_\_\_\_\_  
Residence: \_\_\_\_\_  
  
Country: \_\_\_\_\_  
Citizenship: \_\_\_\_\_  
P. O. Address: \_\_\_\_\_  
Employed By: \_\_\_\_\_  
Of Country: \_\_\_\_\_

At: \_\_\_\_\_  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

Signature: \_\_\_\_\_  
Full Name: \_\_\_\_\_  
Residence: \_\_\_\_\_

Country:  
Citizenship:  
P. O. Address:  
Employed By:  
Of Country:

At: \_\_\_\_\_  
this      day of      , 20

Signature: \_\_\_\_\_  
Full Name: \_\_\_\_\_  
Residence: \_\_\_\_\_

Country:  
Citizenship:  
P. O. Address:  
Employed By:  
Of Country:

